

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Brian Lee Sauer and Andreas Walter Rufer

Serial No.: 09/544,045

Group Art Unit: 1643

Filed: April 6, 2000

Examiner: Not yet assigned

For: *METHOD FOR SELECTING RECOMBINASE VARIANTS WITH  
ALTERED SPECIFICITY*Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JUL 31 2000

TECH CENTER 1600/2900

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, mailed June 15, 2000, applicants enclose copies of the following items filed June 6, 2000, in the above-identified application:

1. Transmittal of Declaration of Inventorship, Statement Claiming Small Entity Status, Statement Under 37 C.F.R. § 3.73(b), and Request for Refund with Certificate of Mailing Under 37 C.F.R. § 1.8(a);
2. Declaration of Inventorship executed by Brian Lee Sauer and Andreas Walter Rufer;
3. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d) --- Nonprofit Organization) executed by Oklahoma Medical Research Foundation;

4. Statement Under 37 C.F.R. § 3.73(b) executed by Oklahoma Medical Research Foundation, with attached copy of the executed Assignment from Brian Lee Sauer and Andreas Walter Rufer to Oklahoma Medical Research Foundation;

5. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration of Inventorship; and

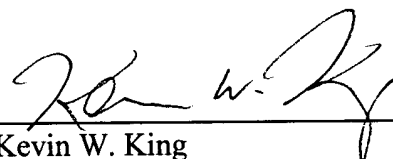
6. Returned postcard indicating receipt by the Patent Office of Items No. 1-5 on June 8, 2000.

Applicants also enclose a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed June 15, 2000. Applicants did not receive the Notice to File Missing Parts of Nonprovisional Application until June 20, 2000, which was **after** the two-month deadline for requesting a 50% refund of the filing fees in the application.

No fees are due at this time. However, should any fee be due, please charge such fee, or credit any overpayment, in connection with this matter to Deposit Account No. 01-2507.

A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

  
\_\_\_\_\_  
Kevin W. King  
Reg. No. 42,737

Date: July 19, 2000

ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8596  
404-873-8597 (fax)

U.S.S.N.: 09/544,045  
Filed: April 6, 2000  
RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION



**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

---

Sunny G. Johns

Date: July 19, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

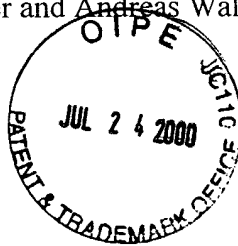
Applicants: Brian Lee Sauer and Andreas Walter Rufer

Serial No.: 09/544,045

Group Art Unit: Not yet assigned

Filed: April 6, 2000

Examiner: Not yet assigned



For: *METHOD FOR SELECTING RECOMBINASE VARIANTS WITH  
ALTERED SPECIFICITY*

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF DECLARATION OF INVENTORSHIP,  
STATEMENT CLAIMING SMALL ENTITY STATUS,  
STATEMENT UNDER 37 C.F.R. § 3.73(b), AND REQUEST FOR REFUND**

Sir:

Applicants enclose the following for filing in the above-identified application:

1. Declaration of Inventorship executed by Brian Lee Sauer and Andreas Walter Rufer;
2. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d) --- Nonprofit

Organization) executed by Oklahoma Medical Research Foundation;

3. Statement Under 37 C.F.R. § 3.73(b) executed by Oklahoma Medical Research Foundation, with attached copy of the executed Assignment from Brian Lee Sauer and Andreas Walter Rufer to Oklahoma Medical Research Foundation; and



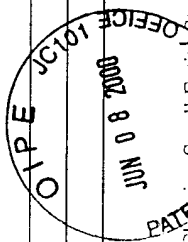
The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of:

Applicants: Brian Lee Sauer and Andreas Walter Rufer

Serial & Docket Nos.: 09/544,045 OMRF 178

Filed: April 6, 2000

Papers Submitted:



Transmittal of Declaration of Inventorship, Statement Claiming Small Entity Status, Statement Under 37 C.F.R. § 3.73(b), and Request for Refund with Certificate of Mailing Under 37 C.F.R. § 1.8(a) (in duplicate); executed Declaration of Inventorship by Brian Lee Sauer and Andreas Walter Rufer; Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d) --- Nonprofit Organization) by Oklahoma Medical Research Foundation; Statement Under 37 C.F.R. § 3.73(b) by Oklahoma Medical Research Foundation, with attached copy of executed Assignment from Brian Lee Sauer and Andreas Walter Rufer to Oklahoma Medical Research Foundation; check for \$65.00; and authorization to charge Deposit Account

Date: June 6, 2000 Client/Matter No.: 20487/247

By: Patrea L. Pabst, Reg. No. 31,284

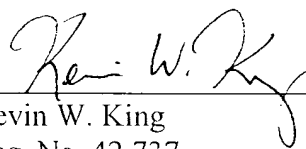
4. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration of Inventorship.

Applicants have not received the Notice to File Missing Parts of Nonprovisional Application.

Applicants respectfully request a 50% refund of the \$1,464.00 filing fee in the application, a total of \$732.00, pursuant to 37 C.F.R. § 1.28.

Please charge any other fees due, or credit any overpayment, in connection with this matter to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

  
\_\_\_\_\_  
Kevin W. King  
Reg. No. 42,737

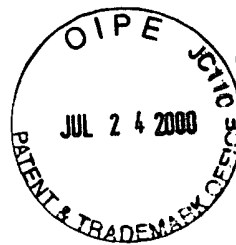
Date: June 6, 2000

ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8596  
404-873-8597 (fax)

U.S.S.N.: 09/544,045

Filed: April 6, 2000

TRANSMITTAL OF DECLARATION OF INVENTORSHIP,  
STATEMENT CLAIMING SMALL ENTITY STATUS, STATEMENT  
UNDER 37 C.F.R. § 3.73(b), AND REQUEST FOR REFUND



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Transmittal of Declaration of Inventorship, Statement Claiming Small Entity Status, Statement Under 37 C.F.R. § 3.73(b), and Request for Refund, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in cursive script, appearing to read "Sunny G. Johns", written over a horizontal line.

Sunny G. Johns

Date: June 6, 2000

**FORMALITIES LETTER**



\*OC00000005178131\*



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,045	04/06/2000	Brian Lee Sauer	OMRF 178

23579  
ARNALL GOLDEN & GREGORY, LLP  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTIC, GA 30309



Date Mailed: 06/15/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE